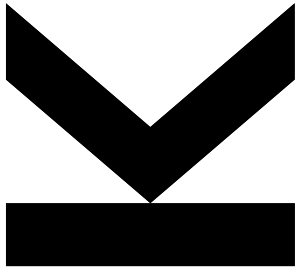


# Matlab in Signal Processing



Mario Huemer



# Content

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## ■ Matlab in teaching

- Examples of the Bachelor lecture *Signal Processing*
- Examples of the Master lecture *Statistical and Adaptive Signal Processing*

## ■ Matlab in research

- Example: Short Range Leakage Cancellation in Automotive Radar MMICs
- Example: Self Interference Cancellation in Mobile Phone Transceiver RFICs
- Example: UW-OFDM

# Digital Signal Processing

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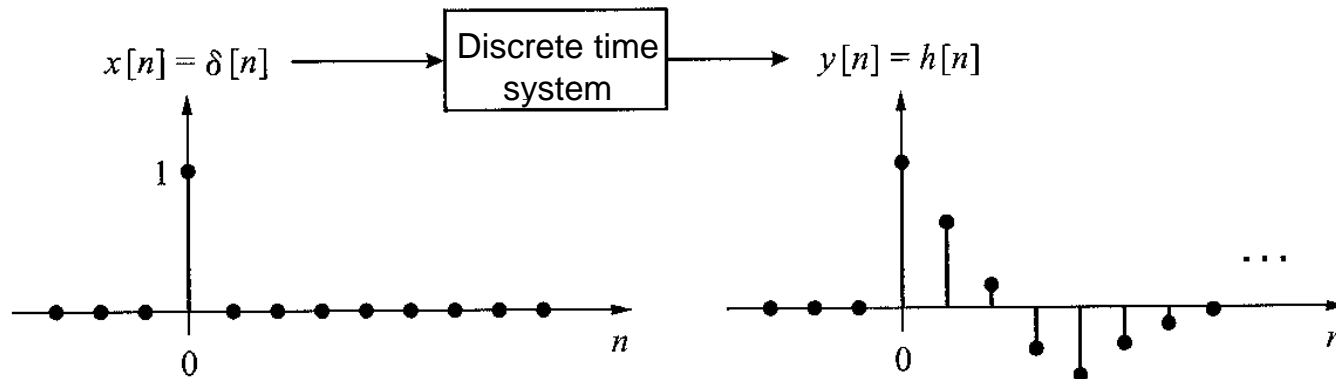
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- Discrete Time Signals - Fundamentals
- Discrete Time LTI-Systems - Fundamentals
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- Sampling and Reconstruction
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- Spectral Analysis of Discrete Time Signals  
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- z-Transform
- Digital Filters
- State-Space Representations of Discrete Time LTI-Systems
- Vector-Matrix Representations of Discrete Time Signals and LTI-Systems
- Basics of Multirate Signal Processing

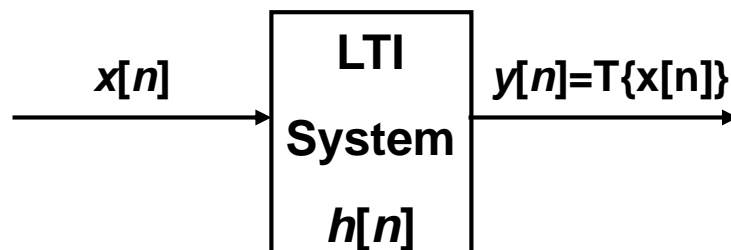
# Discrete Time LTI-Systems - Fundamentals

## ■ Impulse response $h[n]$ of a discrete time LTI system

The impulse response of a discrete time LTI system is the reaction  $y[n] := h[n]$  of the system to the unit impulse  $x[n] = \delta[n]$ .



## ■ In general, the following is true for the input-output operator $T\{x[n]\}$ :



$$y[n] = T\{x[n]\} = \sum_{i=-\infty}^{\infty} x[i]h[n-i]$$

...discrete convolution

### ■ Example: Moving Average Filter

Output signal: 
$$y[n] = \frac{1}{M+1} \sum_{k=0}^M x[n-k]$$

This system calculates the  $n$ th sample of the output sequence as the average value of  $x[n], x[n-1], \dots, x[n-M]$ .

Impulse response: 
$$h[n] = \frac{1}{M+1} \sum_{k=0}^M \delta[n-k]$$
$$= \begin{cases} \frac{1}{M+1} & \text{for } 0 \leq n \leq M \\ 0 & \text{else} \end{cases}$$

# Discrete Time LTI-Systems

## Frequency Response

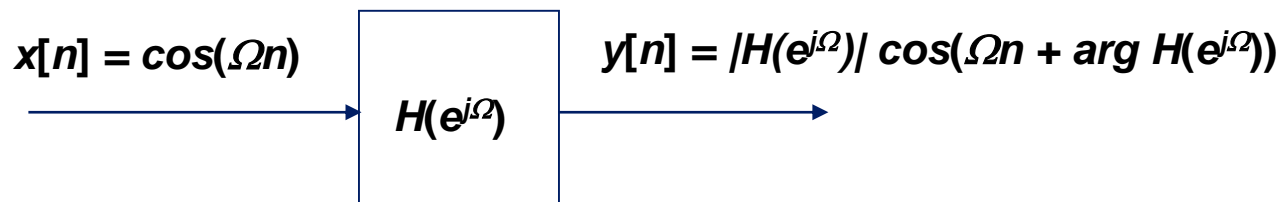
### ■ Frequency response of an LTI-system

- The term

$$H(e^{j\Omega}) = \sum_{n=-\infty}^{\infty} h[n]e^{-j\Omega n} \quad \text{für } -\infty < \Omega < \infty$$

is called *frequency response* of the system.

- Real valued LTI systems feature the typical property that a sinusoidal input signal is processed into a sinusoidal output signal:



The sinusoidal output signal experiences a **modification of the amplitude with the factor  $|H(e^{j\Omega})|$**  and a **phase shift  $\arg(H(e^{j\Omega}))$**  with respect to the input.

# Spectral Analysis

## Fourier Series – Real Representation

- A periodic signal  $x(t)$  with the period  $T_0$  can be decomposed into a **Fourier series**.

Fourier series:

$$x(t) = \frac{a_0}{2} + \sum_{k=1}^{\infty} [a_k \cos(2\pi k f_0 t) + b_k \sin(2\pi k f_0 t)]$$

Periodic functions can be expressed as a **linear combination of sine and cosine oscillations**.

Fourier coefficients  $a_k, b_k$ :  
= spectral representation

$$a_k = \frac{2}{T_0} \int_{-T_0/2}^{T_0/2} x(t) \cos(2\pi k f_0 t) dt$$
$$b_k = \frac{2}{T_0} \int_{-T_0/2}^{T_0/2} x(t) \sin(2\pi k f_0 t) dt$$

- $f_0 = 1/T_0$  is the **frequency of the fundamental oscillation**.
- Oscillations of the frequency  $f = k f_0$  are called the  **$k^{\text{th}}$  harmonic**.
  - $k = 1$ : first harmonic or fundamental oscillation ( $f = f_0$ )
  - $k = 2$  ( $n$ ): second ( $n^{\text{th}}$ ) harmonic with  $f = 2f_0$  ( $f = n f_0$ )
  - $a_0/2$ : constant component (DC component)

# Spectral Analysis

## Fourier Series – Complex Representation

- Example: By replacing the cosine and sine waves with the Euler formulas

$$\cos(2\pi k f_0 t) = \frac{1}{2} (e^{j2\pi k f_0 t} + e^{-j2\pi k f_0 t})$$

$$\sin(2\pi k f_0 t) = \frac{1}{2j} (e^{j2\pi k f_0 t} - e^{-j2\pi k f_0 t})$$

we obtain the mathematically most elegant form of the Fourier series:

Fourier series

$$x(t) = \sum_{k=-\infty}^{\infty} [c_k e^{j2\pi k f_0 t}]$$

Complex Fourier coefficients  $c_k$   
= spectral representation

$$c_k = \frac{1}{T_0} \int_{-T_0/2}^{T_0/2} x(t) e^{-j2\pi k f_0 t} dt$$

Discrete spectrum = line spectrum:  
The spectrum of the periodic signal is defined at the discrete (equidistant) frequencies  $k \cdot f_0$ .

- A periodic function can therefore also be understood as a **weighted sum of complex oscillations**.
- As with the real representation, integration must be carried out over one period. The starting time of the integration is irrelevant.



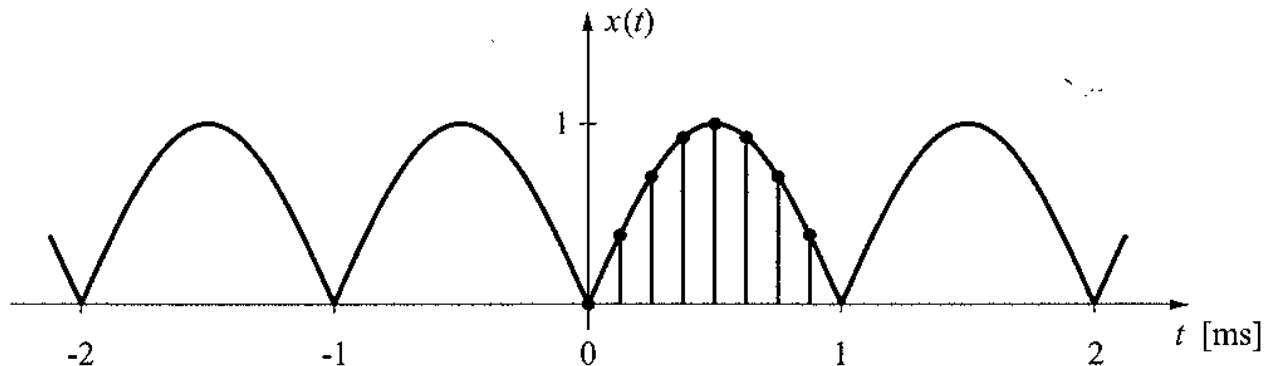
# The DFT as an Approximation of the Fourier Series

- Assuming that exactly one period of a periodic signal is sampled, the Fourier coefficients can be determined according to:

$$c_k \approx \frac{1}{N} X[k] \quad \text{for } k = -N/2, \dots, N/2 - 1$$

(holds for even  $N$ ,  
similarly for odd  $N$ )

$X[k]$  ...DFT coefficients



- Equality applies in the above formula if the periodic signal is band-limited and if the sampling theorem is fulfilled.

# Spectral Analysis

## Fourier Series – Examples

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**Example:** Derive the Fourier series coefficients of the signal

$$x(t) = 2 + 6 \cos(2\pi f_0 t) + 4 \sin(2\pi \cdot 2f_0 t) + 2 \cos(2\pi \cdot 3f_0 t)$$

( $f_0 = 1\text{kHz}$ ) with Matlab.

**Example:** Derive the Fourier series coefficients of the signal

$$x(t) = |\sin(2\pi \cdot 2f_0 t)|$$

( $f_0 = 1\text{kHz}$ ) with Matlab.

# Content

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# Estimation Theory

## Maximum Likelihood (ML) Estimation

### Example: Sinusoidal Parameter Estimation

Assume the data to be

$$x[n] = A \cos(\Omega n + \varphi) + w[n] \quad n = 0, 1, \dots, N-1$$

with  $\mathbf{w} \sim \mathcal{N}(\mathbf{0}, \sigma^2 \mathbf{I})$ ,  $A > 0$  and  $0 < \Omega < \pi$ .  $A, \Omega, \varphi$  shall be estimated.

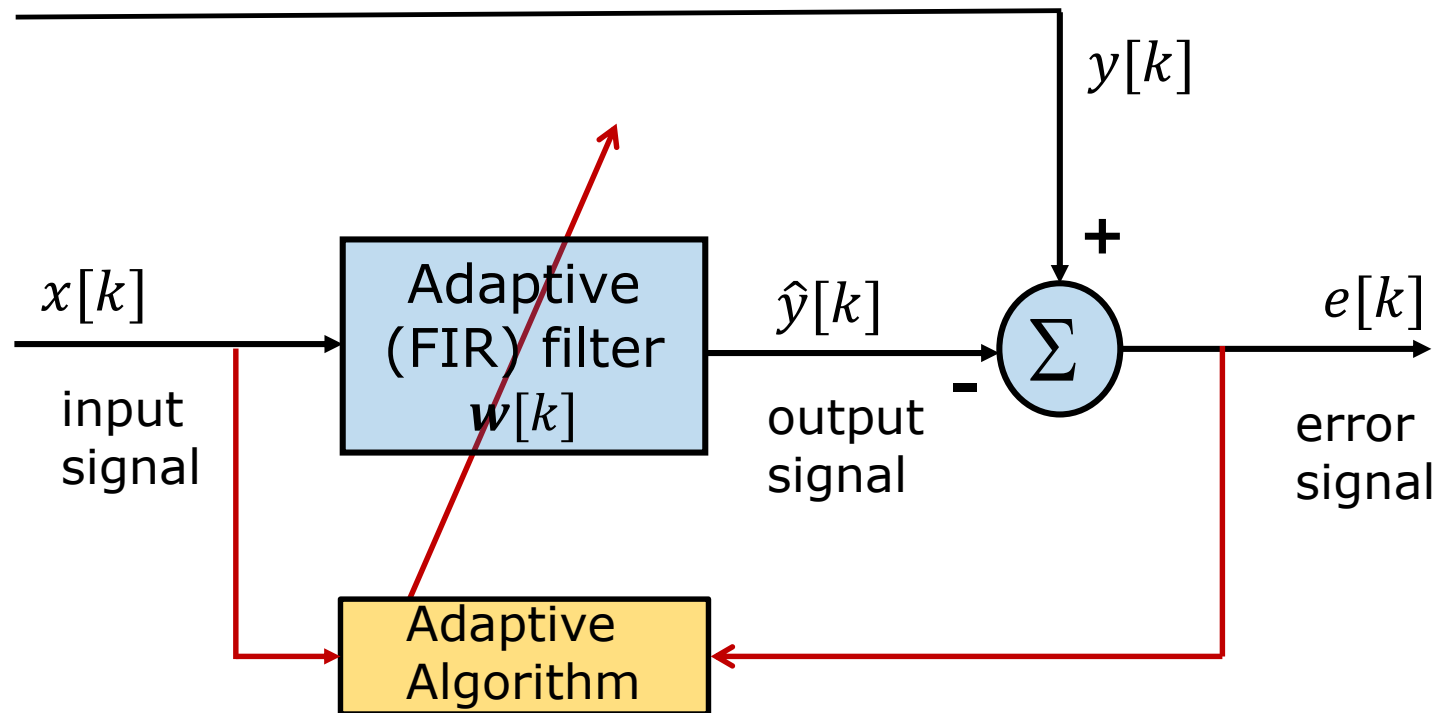
■ FFT-based solution: 
$$\hat{\Omega} = \arg \max \frac{1}{N} \left| \sum_{n=0}^{N-1} x[n] \exp(-j\Omega n) \right|^2$$

$$\hat{A} = \frac{2}{N} \left| \sum_{n=0}^{N-1} x[n] \exp(-j\hat{\Omega} n) \right|$$

$$\hat{\varphi} = \arctan \frac{-\sum_{n=0}^{N-1} x[n] \sin(\hat{\Omega} n)}{\sum_{n=0}^{N-1} x[n] \cos(\hat{\Omega} n)}$$

## Adaptive filtering example:

$$y[k] = s[k] + x'[k]$$



$y[k]$  could, e.g., be a measured ECG signal disturbed by a strong 50Hz interference, then it makes sense to feed an adaptive filter with  $x[k] = \cos(2\pi \cdot 50\text{Hz } t)$  (see Matlab example).

# Kalman Filters

## Extended Kalman Filter Example

### Example: Vehicle Tracking

- *Assumptions: Vehicle moving at constant speed, but perturbed by wind gusts, slight speed corrections, etc., as might occur in an aircraft. The measurements are noisy versions of the range and bearing.*
- *Tracking with Extended Kalman Filter*



Rudolf Kalman, Kurt Schlacher  
and Mario Huemer at  
EUROCAST 2013 in Gran Canaria

# Content

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## ■ Matlab in teaching

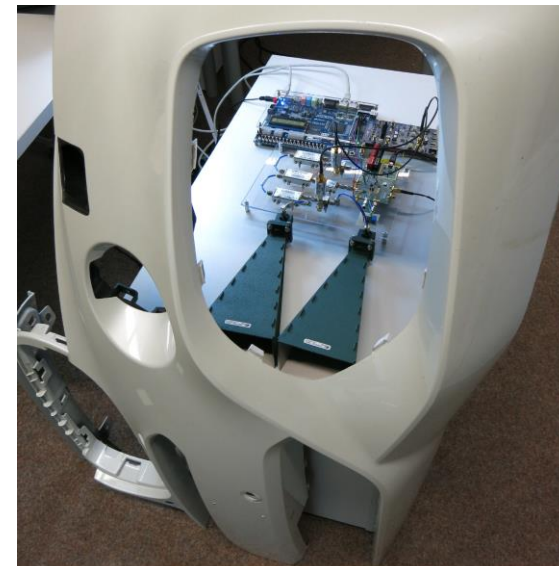
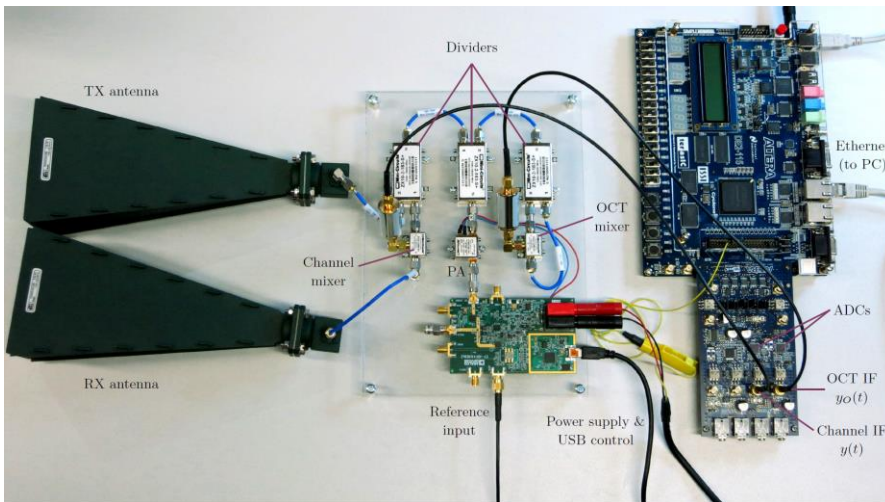
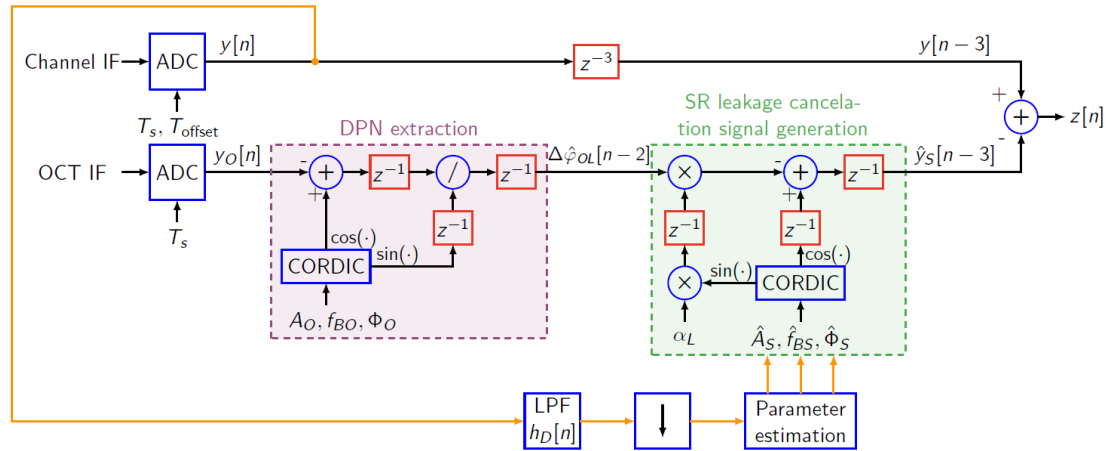
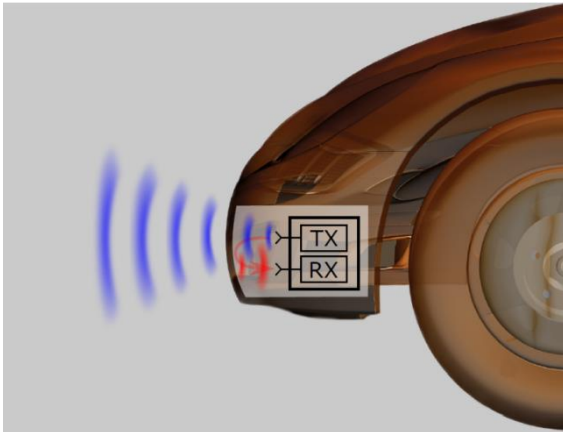
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# Short-Range Leakage Cancellation in FMCW Radar Transceivers (with Infineon)

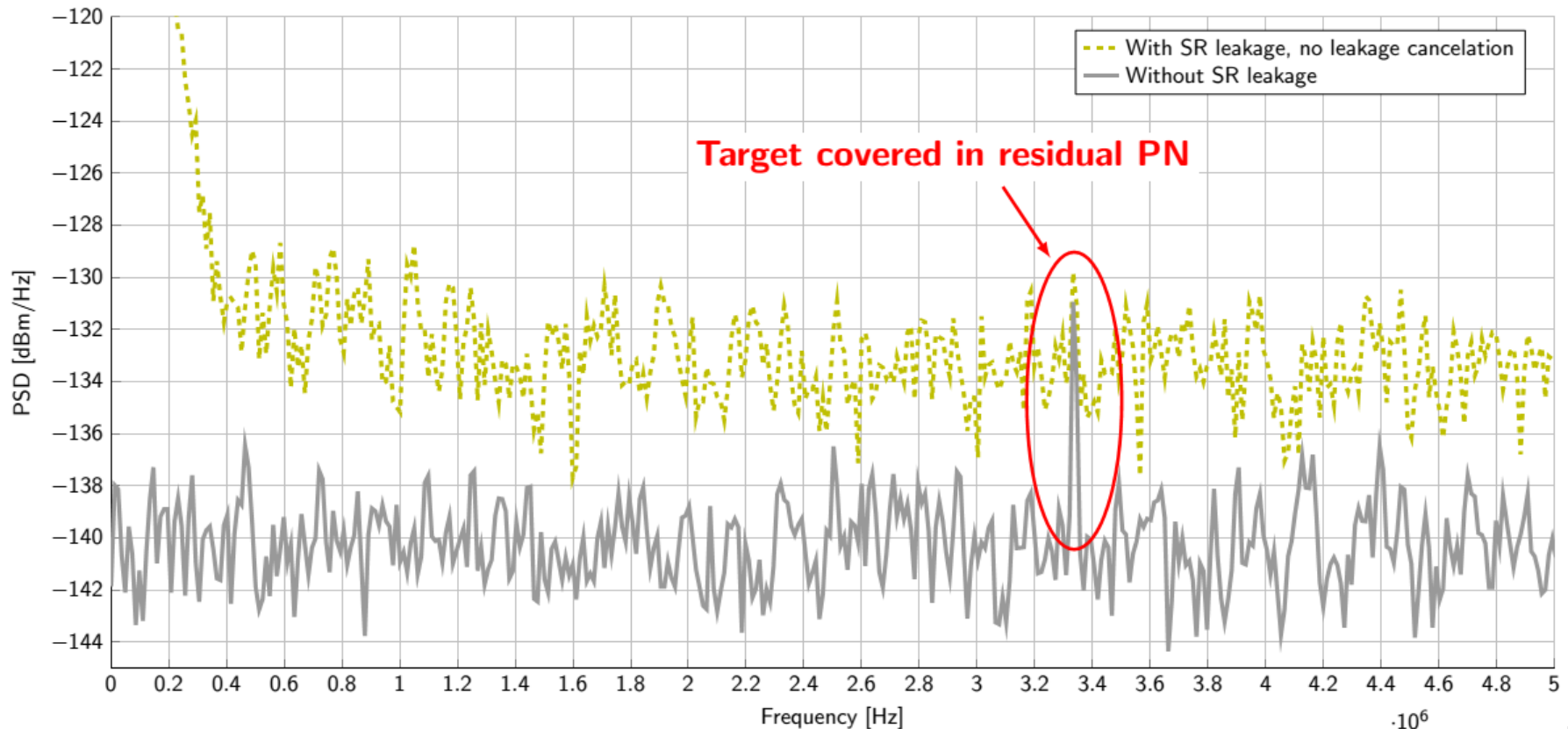
- Goal: Cancellation of interspersed signals from narrow targets with emphasis on Phase Noise





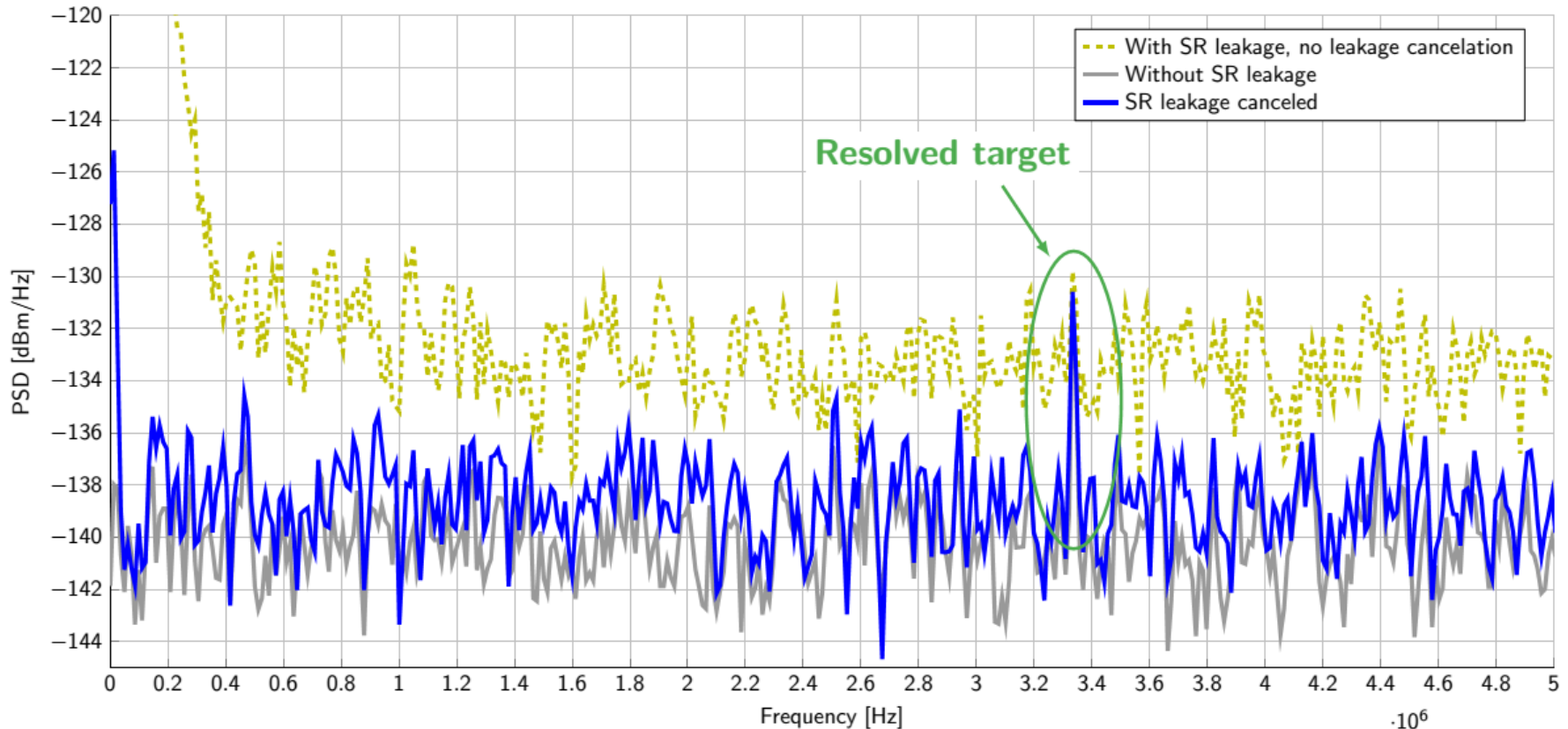
# Short-Range Leakage Cancellation in FMCW Radar Transceivers (with Infineon)

- Residual PN of SR leakage increases overall system noise floor, thus degrading detection sensitivity by approx. 6 dB
- Target within channel is covered in noise



# Short-Range Leakage Cancellation in FMCW Radar Transceivers (with Infineon)

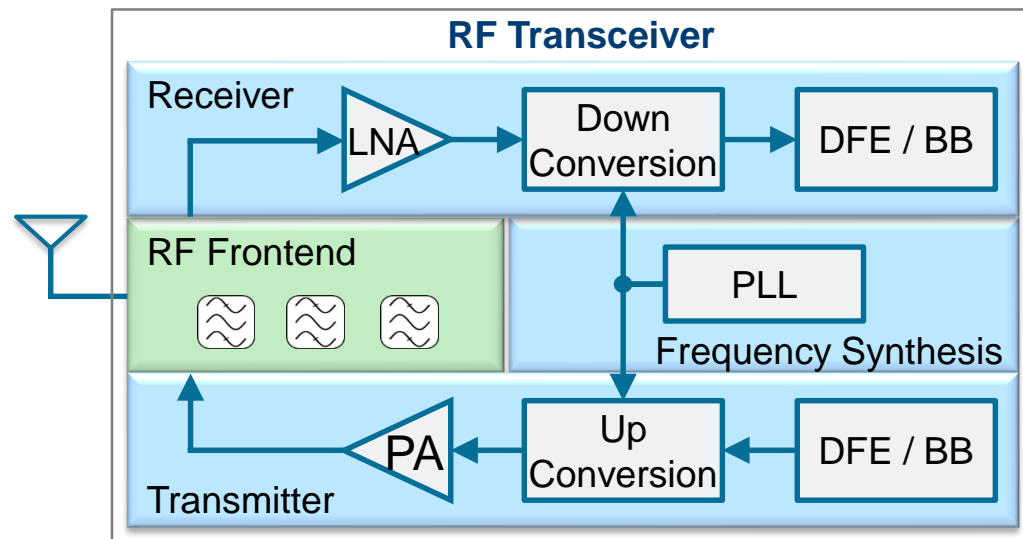
- Employing SR leakage cancelation significantly improves target detection sensitivity
- Target within channel is resolved well



# Self Interference Cancellation in Mobile Phone Transceiver RFICs (with Apple)

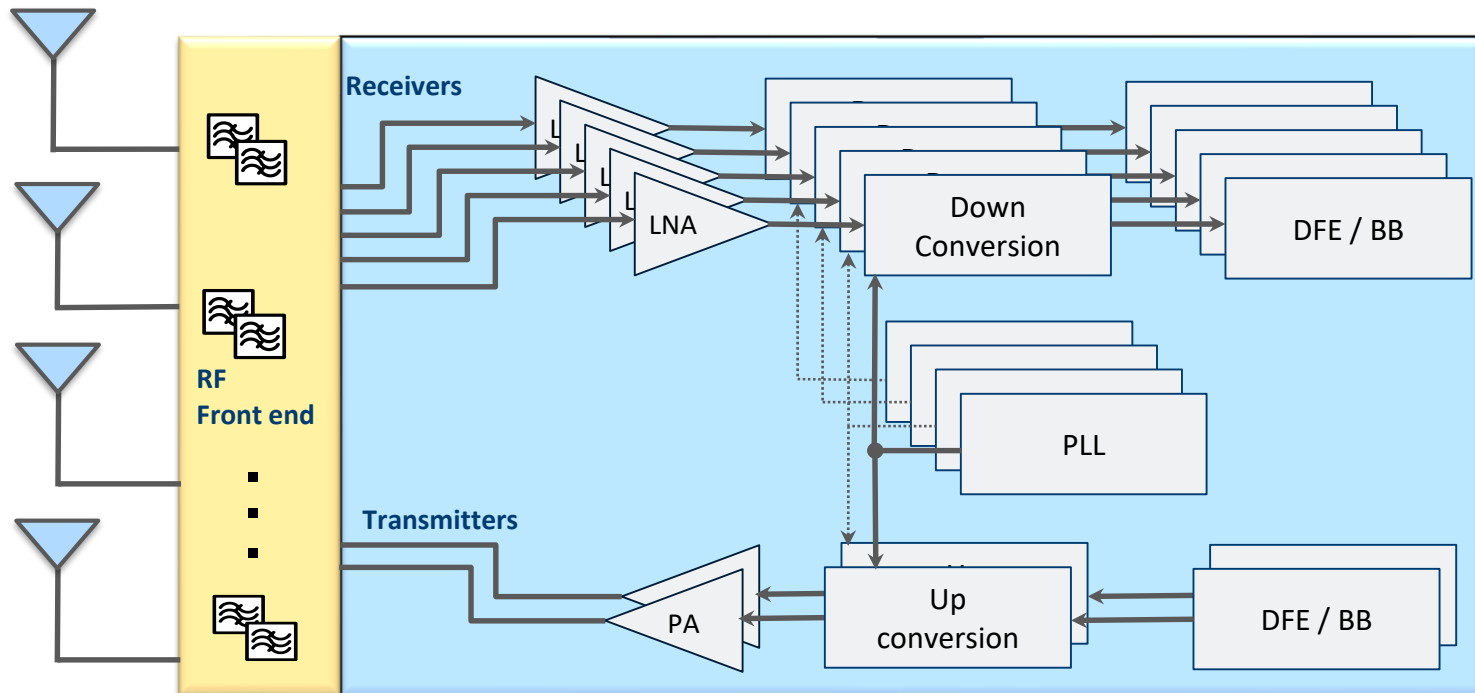
## ■ Main components of RF transceiver

- Transmitter
- Receiver
- RF front-end

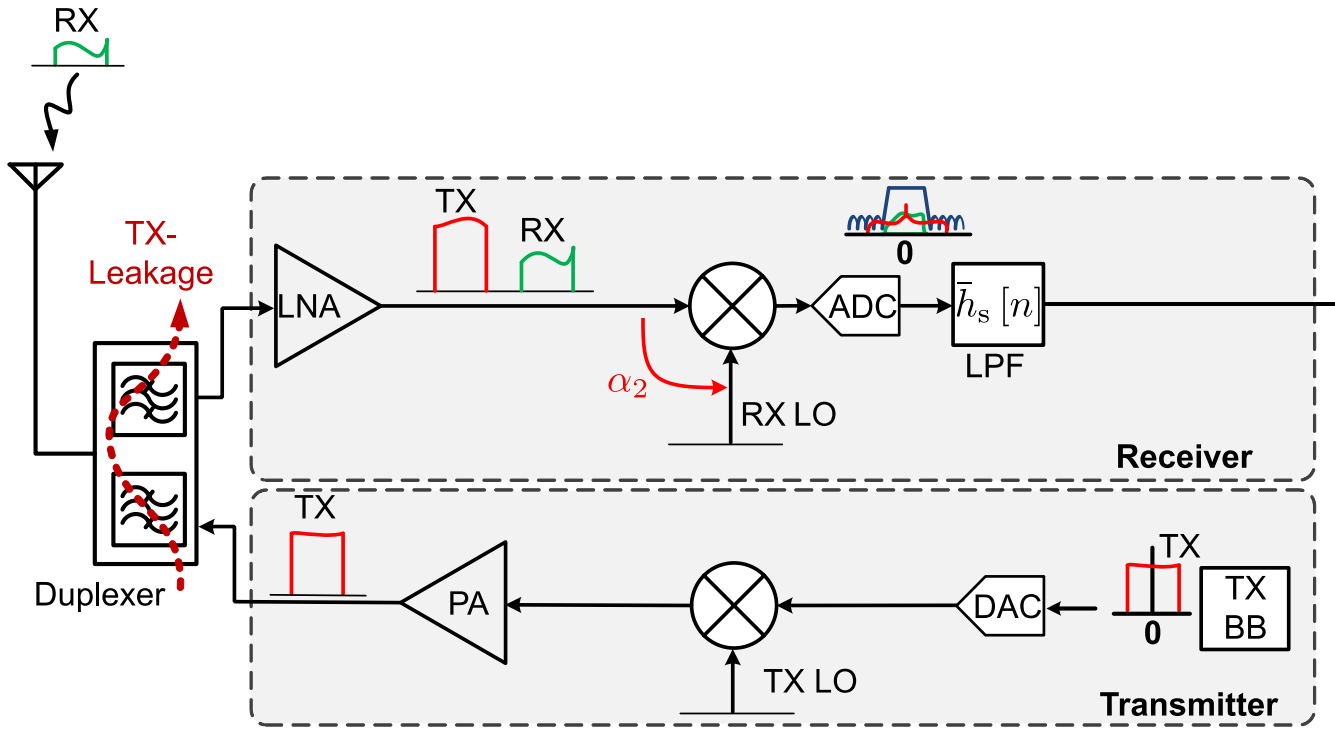


# Self Interference Cancellation in Mobile Phone Transceiver RFICs (with Apple)

- Higher data rates → higher bandwidths
- Carrier aggregation (CA) → multiple Rx / Tx chains
- More bands → increased RF front-end complexity

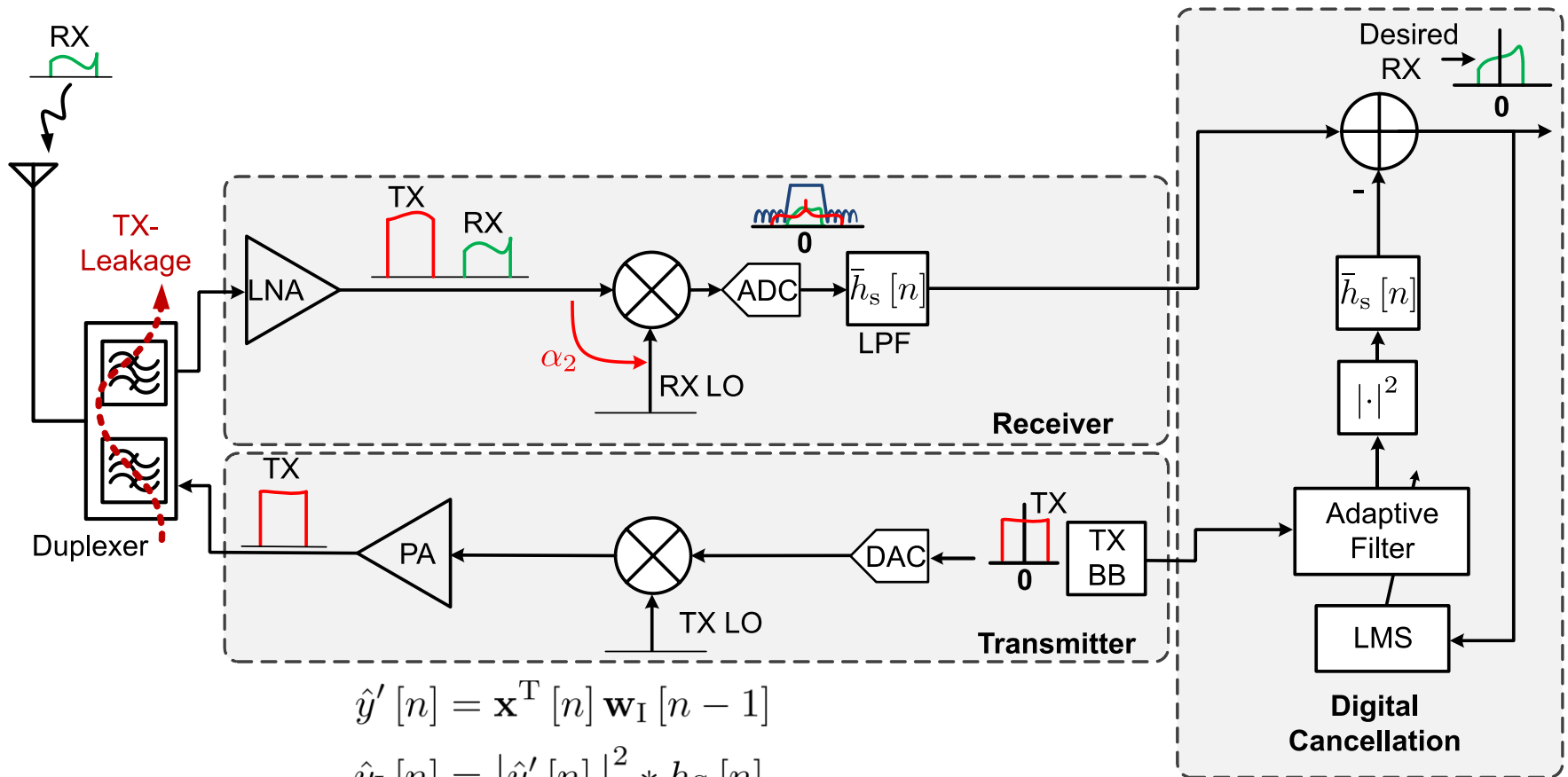


# Self Interference Cancellation in Mobile Phone Transceiver RFICs (with Apple)



$$y_{\text{BB}}^{\text{Tot}}[n] = \underbrace{\frac{A_{\text{LNA}}}{2} y_{\text{BB}}^{\text{Rx}}[n] * \bar{h}_s[n]}_{\text{wanted Rx signal}} + \underbrace{\frac{\alpha_2^{\text{I}} + j\alpha_2^{\text{Q}}}{2} |A_{\text{LNA}} A_{\text{PA}} x_{\text{BB}}[n] * h_{\text{BB}}^{\text{TxL}}[n]|^2 * \bar{h}_s[n]}_{\text{interference}} + \underbrace{\frac{A_{\text{LNA}}}{2} v_{\text{BB}}[n] * \bar{h}_s[n]}_{\text{noise}}$$

# Self Interference Cancellation in Mobile Phone Transceiver RFICs (with Apple)



$$\hat{y}'[n] = \mathbf{x}^T[n] \mathbf{w}_I[n-1]$$

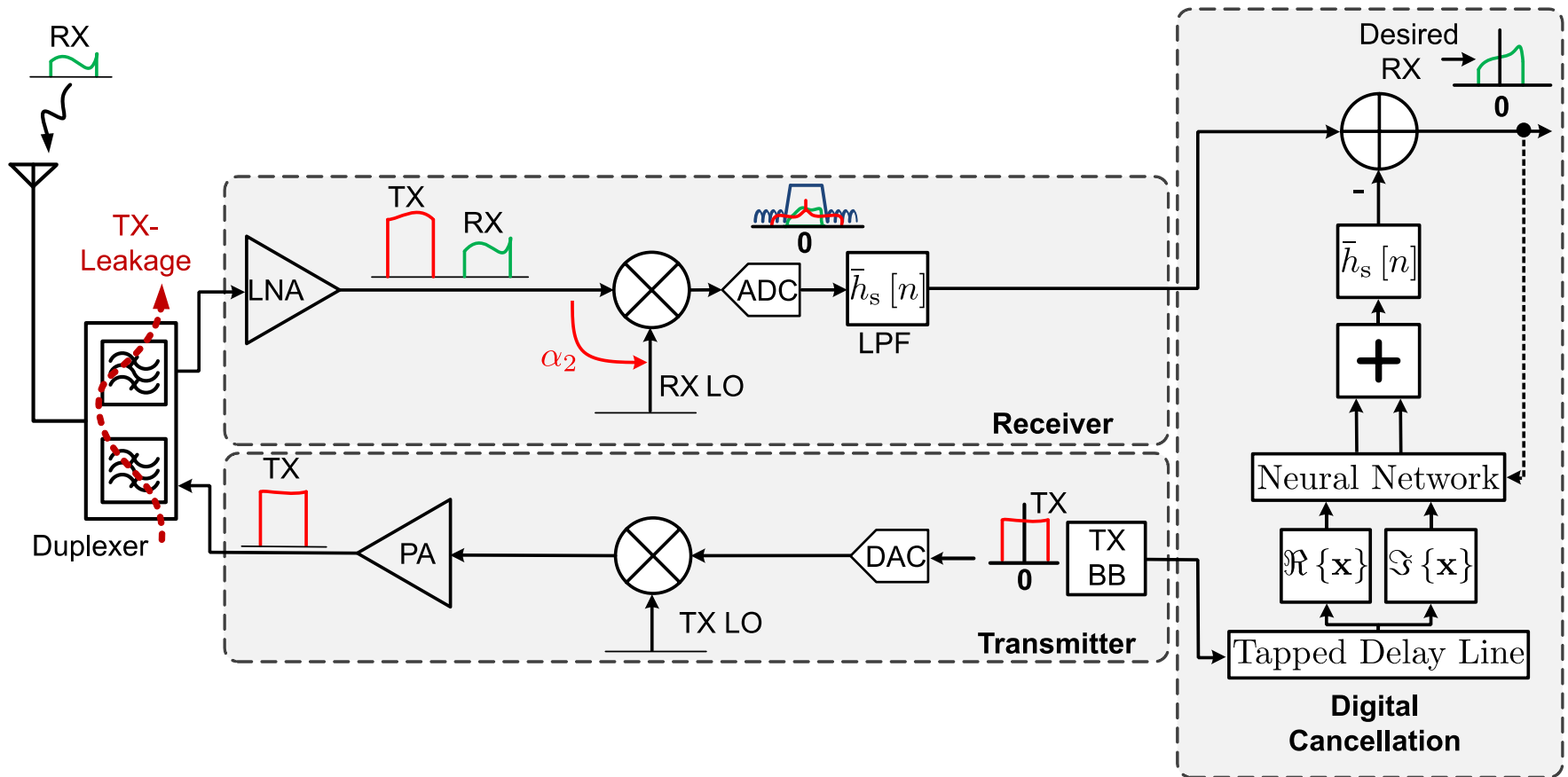
$$\hat{y}_I[n] = |\hat{y}'[n]|^2 * h_S[n]$$

$$\hat{y}_{AC,I}[n] = a \hat{y}_{AC,I}[n-1] + \hat{y}_I[n] - \hat{y}_I[n-1]$$

$$e_{AC,I}[n] = d_{AC,I}[n] - \hat{y}_{AC,I}[n]$$

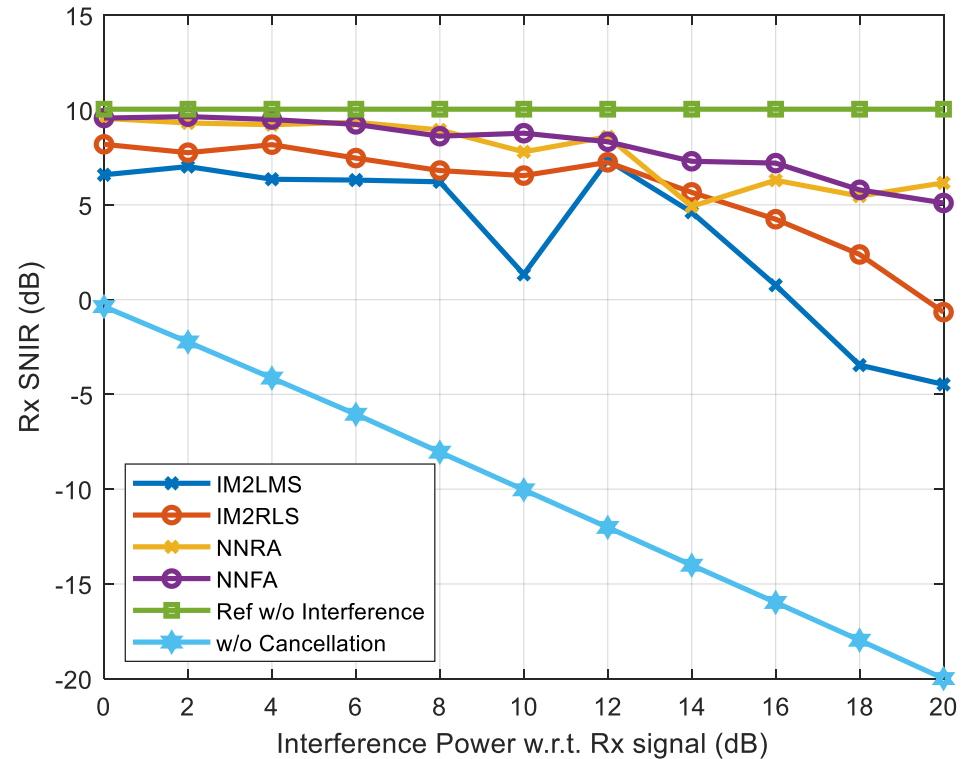
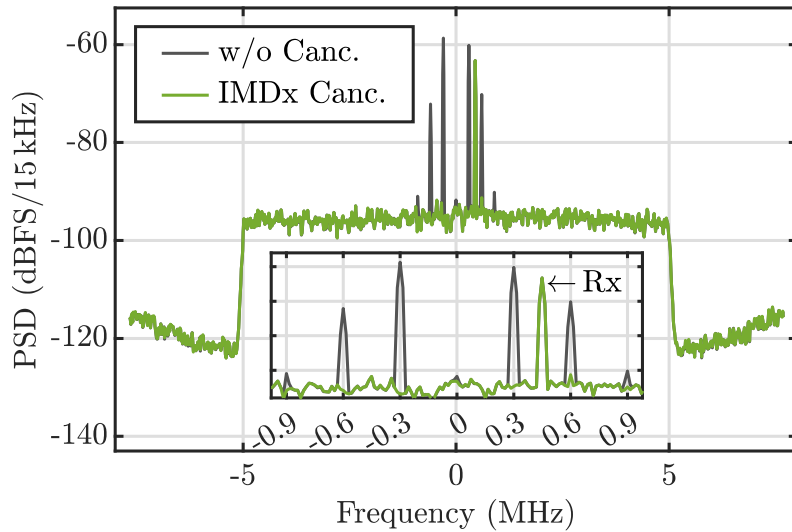
$$\mathbf{w}_I[n] = \mathbf{w}_I[n-1] + \frac{\mu e_{AC,I}[n] (\hat{y}'[n] \mathbf{x}^*[n]) * h_S[n]}{\epsilon + (|\hat{y}'[n]|^2 \mathbf{x}^H[n] \mathbf{x}[n]) * h_S[n]}$$

# Self Interference Cancellation in Mobile Phone Transceiver RFICs (with Apple)



# Self Interference Cancellation in Mobile Phone Transceiver RFICs (with Apple)

## ■ Performance Results



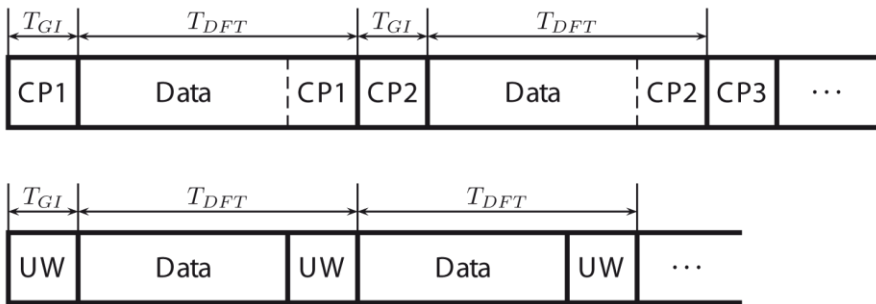
Source: A. Gebhard, C. Motz, R. S. Kanumalli, H. Pretl, and M. Huemer, "Nonlinear least-mean-squares type algorithm for second-order interference cancellation in LTE-A RF transceivers," in 2017 51st Asilomar Conference on Signals, Systems, and Computers, Pacific Grove, CA, USA, 2017, pp. 802–807.



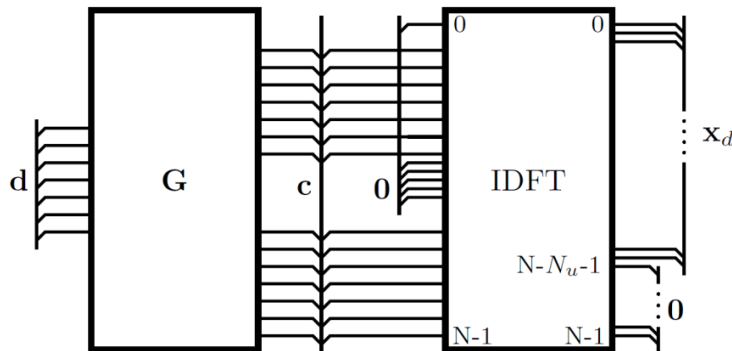
# Basic Research

## Unique Word OFDM (FWF)

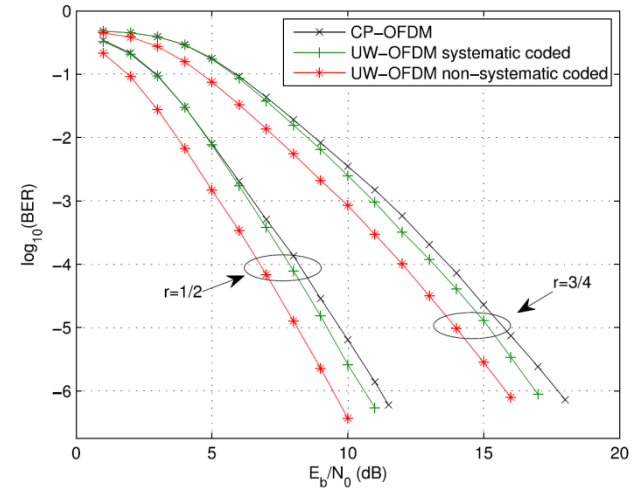
■ Novel signaling scheme for digital communications



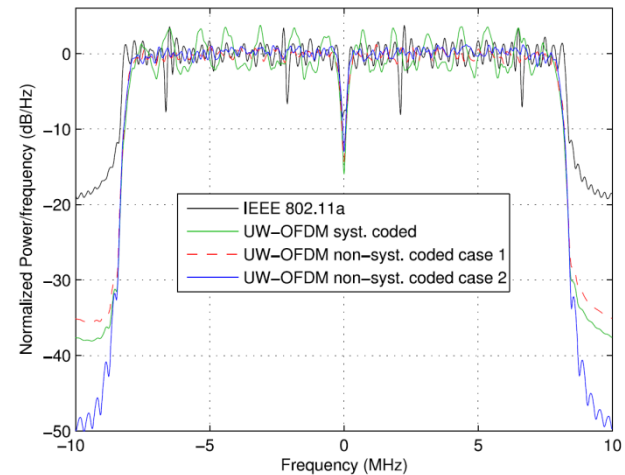
Symbol generation



Bit error performance



Welch Spectrum



- 
- Parameter estimation (with voestalpine)
  - Non-linear adaptive filters
  - NN-based data estimation for wireless systems (with SAL)
  - Joint communications and sensing
  - Feature extraction of ECG signals (with KUK Linz)
  - HW architectures for DSP
  - ...
- 
- Diploma theses in co-operation with HTLs possible and welcome!

THANK YOU!